

13 February 2009



Business, Corporate and Investor Services

Member of BEA Group

NOTICE

Hong Kong Listing Rules - Proposed Modification of the Extension of "Black Out" Period 香港上市規則 - 延長「禁止買賣期」的修訂建議

Tricor listed clients in Hong Kong are advised to take note of the following and to take action where applicable.

PROPOSED MODIFICATION OF THE EXTENSION OF "BLACK OUT" PERIOD

On 12 February 2009, Hong Kong Exchanges and Clearing Limited ("HKEx") announced its proposal to modify the extension of "black out" period, during which a director is prohibited from dealing in securities of the listed issuer.

The modified proposal is as follows:

- For publication of annual results, the "black out" period will be the period of 60 days immediately preceding the publication date of the results or, if shorter, the period from the end of the relevant financial year up to the publication date of the results.
- For publication of quarterly results (*where applicable*) and half-year results, the "black out" period will be the period of 30 days immediately preceding the publication date of the results or, if shorter, the period from the end of the relevant financial period up to the publication date of the results.

Note: "Black out" period will cover any period of delay in the publication of a results announcement.

Furthermore, listed issuers must notify HKEx in advance of the commencement of each "black out" period relating to the publication of financial results.

Subject to approval by the Securities and Futures Commission, the above revised rule amendments will come into effect on 1 April 2009.

The news release of HKEx can be viewed and downloaded from HKEx website at www.hkex.com.hk under the following sections: News Room - News Release - HKEx News Release - 12 February 2009 Listing Committee Announces Proposed Modification of the "Black Out" Period Extension and Suggests Measures to Enhance Hong Kong's Disclosure Regime.

Please contact a Tricor executive for assistance and enquiries.

卓佳的香港上市客戶須留意下述事項，並因應個別情況採取適當行動。

延長「禁止買賣期」的修訂建議

於2009年2月12日，香港交易及結算有限公司（下稱「香港交易所」）發表新聞稿，提出延長「禁止買賣期」（即董事不得買賣上市發行人證券的期間）的修訂建議。

修訂建議如下：

- 就公布全年業績，「禁止買賣期」將由業績公布日之前60日開始，或如少於60日，即由有關財政年度結束時開始，直至業績公布日為止。
- 就公布季度業績（如適用）及半年度業績，「禁止買賣期」將由業績公布日之前30日開始，或如少於30日，即由有關財政期間結束時開始，直至業績公布日為止。

註：「禁止買賣期」將包括上市發行人延遲公布業績的期間。

此外，上市發行人必須在涉及財務業績公布的每一次「禁止買賣期」開始之前，先行就此通知香港交易所。

如得證券及期貨事務監察委員會批准，上述的規則修訂將於2009年4月1日起生效。

香港交易所之新聞稿可在香港交易所網站 www.hkex.com.hk 之下列欄目瀏覽及下載：
新聞資料 - 新聞稿 - 香港交易所新聞稿 - 2009年2月12日上市委員會公布修訂延長禁止買賣期的建議及提出加強香港披露機制的建議措施。

如需要協助或其他資料，請與卓佳行政人員聯絡。